

# C\*-algebras of Left Cancellative Small Categories with Garside Families

a quick tour

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端午安康!

Wish you health and a peaceful Dragon Boat Festival.

2023 年 6 月 22 日

农历五月初五

June 22, 2023

The 5th Day of the 5th Lunar Month

# Outline

- 1 Left Cancellative Small Categories, Inverse semigroups and Characters
- 2 Garside Theory
- 3 Main Results
- 4 Application: Higher-rank graphs

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- 1 Left Cancellative Small Categories, Inverse semigroups and Characters
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# Small Categories

A **small category**  $\mathbf{C}$  is a category where the collection of objects  $\text{Ob}(\mathbf{C})$  and the collection of morphisms  $\text{Hom}_{\mathbf{C}}(A, B)$  between any two objects  $A, B \in \text{Ob}(\mathbf{C})$  are sets.

Notations:

$\mathbf{C} = \text{Mor}(\mathbf{C})$  (the set of morphisms);

$\mathbf{C}^0 = \text{Ob}(\mathbf{C})$  (the set of objects);

$\mathbf{C}^* =$  the set of isomorphisms.

By identifying each  $v \in \mathbf{C}^0$  as the identity morphism  $\text{id}_v$ , we regard  $\mathbf{C}^0$  as a subset of  $\mathbf{C}^*$  and hence a subset of  $\mathbf{C}$ . Then we have two maps  $\mathbf{d} : \mathbf{C} \rightarrow \mathbf{C}^0$  and  $\mathbf{t} : \mathbf{C} \rightarrow \mathbf{C}^0$  indicating the source and range of morphisms.

For every  $c, d \in \mathbf{C}$ , the composition  $cd$  is defined if and only if  $\mathbf{t}(d) = \mathbf{d}(c)$ , that is,  $\mathbf{d}(d) \xrightarrow{d} \mathbf{t}(d) = \mathbf{d}(c) \xrightarrow{c} \mathbf{t}(c)$ . For every  $c \in \mathbf{C}$ , we also define  $c\mathbf{C} = \{cd : d \in \mathbf{C}, \mathbf{t}(d) = \mathbf{d}(c)\}$ .

# Left Cancellative Small Categories and Divisibility

A small category  $\mathbf{C}$  is **left cancellative** if for all  $c, x, y \in \mathbf{C}$  with  $\mathbf{t}(x) = \mathbf{t}(y) = \mathbf{d}(c)$ ,

$$cx = cy \implies x = y.$$

Let  $\mathbf{C}$  be a left cancellative small category.

For a given  $b \in \mathbf{C}$ , we say an element  $a \in \mathbf{C}$  is a **left divisor** of  $b$  if  $b = ac$  for some  $c \in \mathbf{C}$  (with  $\mathbf{t}(c) = \mathbf{d}(a)$ ), written as  $a \leq b$ .

If  $a \leq b$  but  $a \neq b$ , we say that  $a$  is a strict (or proper) left divisor of  $b$ , written as  $a < b$ .

Let  $S$  be a subfamily of  $\mathbf{C}$ . Given  $a \in \mathbf{C}$ , an element  $s \in S$  is a **greatest left divisor** of  $a$  in  $S$  if  $s \leq a$  and is the greatest in  $S$  in the sense that every  $r \in S$  with  $r \leq a$  satisfies  $r \leq s$ .

# $=^*$ -equivalence

Let  $a, b \in \mathbf{C}$  be two elements in a left cancellative small category. We say that  $a =^* b$  if there exists an element  $c \in \mathbf{C}^*$  such that  $a = bc$ .

$=^*$  is indeed an equivalence relation.

## Proposition

Let  $\mathbf{C}$  be a left cancellative small category. Then we have

$$a =^* b \iff a \in b\mathbf{C}^* \iff a\mathbf{C} = b\mathbf{C} \iff b \in a\mathbf{C}^*,$$

and hence  $a \leq b, b \leq a \iff a =^* b$ .

# Inverse semigroups

A **semigroup** is a set  $S$  equipped with a binary operation  $S \times S \rightarrow S$  called multiplication, satisfying associativity, that is, for all  $a, b, c \in S$ ,  $(xy)z = x(yz)$ .

An **inverse semigroup** is a semigroup  $S$  with the property that for every  $x \in S$ , there is a unique  $y \in S$  with

$$x = xyx \text{ and } y = yxy.$$

We write  $y = x^{-1}$  and call  $y$  the **inverse** of  $x$ .

An inverse semigroup  $S$  is called an inverse semigroup **with zero** if there is a distinguished element  $0 \in S$  satisfying  $0 \cdot x = x \cdot 0 = 0$  for all  $x \in S$ .



# Idempotents

Let  $S$  be a semigroup. An element  $e \in S$  is called an **idempotent** if  $e^2 = e$ .

## Lemma (Basic properties of idempotents)

In an inverse semigroup  $S$ ,

- 1  $e = e^{-1}$  for every idempotent  $e$ .
- 2 **Format:** An element  $e$  is an idempotent if and only if it is of the form  $e = x^{-1}x$ .
- 3 **Closeness under multiplication:** The product of two idempotents is again an idempotent.
- 4 **Commutativity:** Any two idempotents commute.

# Partial order on idempotents

Let  $S$  be an inverse semigroup.

Let  $E = \{x^{-1}x : x \in S\} = \{e \in S : e^2 = e\}$  be the set of idempotents of  $S$ .

Define an **order relation** " $\leq$ " on  $E$ :  $e \leq f \iff e = ef$ .

$\leq$  is a partial order because if  $e \leq f$  and  $f \leq e$ , then by commutativity of idempotents,

$$e = ef = fe = f.$$

Thus  $E$  becomes a **semilattice**.

# Fundamental example

## Partial bijections on a set

Let  $X$  be a set. A **partial bijection** on  $X$  is a bijection between some subsets of  $X$ .

### The inverse semigroup of partial bijections

Define

$$I(X) = \{\text{partial bijections on } X\}.$$

Then  $I(X)$  becomes an inverse semigroup.

Multiplication is given by composition of partial bijections.

Inverses are given by the usual inverse functions of partial bijections.

Note: Let  $s : \text{dom}(s) \rightarrow \text{im}(s)$  and  $t : \text{dom}(t) \rightarrow \text{im}(t)$  be two partial bijections. The domain of  $s \circ t$  is given by

$$\text{dom}(s \circ t) = \text{dom}(t) \cap t^{-1}(\text{dom}(s)) = t^{-1}(\text{dom}(s) \cap \text{im}(t)).$$

# Fundamental Example

Identity functions on subsets

The semilattice of idempotents of partial bijections

The semilattice of idempotents is given by

$$E(X) = \{s^{-1}s : s \in I(X)\} = \{\text{Id}_{\text{dom}(s)} : s \in I(X)\}.$$

We have the following identifications in the semilattice of idempotents in an inverse semigroup of partial bijections:

$$s^{-1}s \leftrightarrow \text{dom}(s),$$

$$\leq \leftrightarrow \subseteq,$$

$$ef \leftrightarrow \text{dom}(e) \cap \text{dom}(f).$$

# Characters

## Definition (Character)

Let  $S$  be an inverse semigroup and  $E$  be its semilattice of idempotents. A **character** on  $E$  is a **nonzero, multiplicative** map

$$\chi : E \rightarrow \{0, 1\}$$

which sends  $0 \in E$  (if it exists) to  $0 \in \{0, 1\}$ .

By definition we can see that a character  $\chi$  is completely determined by the set  $\chi^{-1}(1) = \{e \in E : \chi(e) = 1\}$ .

The set of characters on  $E$  is denoted by  $\hat{E}$ .  $\hat{E}$  is called the character space when given the pointwise-convergence topology.

# Left inverse hull of induced partial bijections

Let  $\mathbf{C}$  be a left cancellative small category. Every  $c \in \mathbf{C}$  induces a partial bijection  $\sigma_c : \mathbf{d}(c)\mathbf{C} \rightarrow c\mathbf{C}$  given by  $x \mapsto cx$  (very often we may denote the induced partial bijection  $\sigma_c$  by  $c$  again).

The **left inverse hull**  $I_l$  of  $\mathbf{C}$  is defined to be the inverse semigroup generated by the set of all the partial bijections  $\{\sigma_c : c \in \mathbf{C}\}$ .

The semilattice of idempotents of  $I_l$  is denoted by  $J$ . The space of characters with pointwise-convergence topology on  $J$  is denoted by  $\hat{J}$ .

# The Character from an element

## Definition (The Character from an element)

Given  $x \in \mathbf{C}$ , we define  $\chi_x : J \rightarrow \{0, 1\}$  by

$$\chi_x(e) = \begin{cases} 1, & \text{if } x\mathbf{C} \subseteq e, \\ 0, & \text{otherwise.} \end{cases}$$

Observation:  $\chi_x = \chi_y$  if and only if  $x\mathbf{C} = y\mathbf{C}$ .

# The subspace $\Omega$ of $\hat{J}$

and the inverse semigroup action on  $\Omega$

The subspace  $\Omega$  of  $\hat{J}$  is defined as follows:

$\Omega$  consists of characters  $\chi : J \rightarrow \{0, 1\}$  with the property that whenever  $e, f_1, \dots, f_n \in J$  satisfy  $e = \bigcup_{i=1}^n f_i$  as subsets of  $\mathbf{C}$ , then  $\chi(e) = 1$  implies that  $\chi(f_i) = 1$  for some index  $i$ .

The topology on  $\Omega$  is the subspace topology from  $\hat{J}$ .

## Lemma

$\{\chi_x : x \in \mathbf{C}\}$  is dense in  $\Omega$  with respect to the pointwise-convergence topology.

Given  $s \in I_l$  and  $\chi \in \hat{J}$  with requirement that  $\chi(s^{-1}s) = 1$ , we define **the action of  $s$  on  $\chi$**  as another character  $s.\chi : J \rightarrow \{0, 1\}$  by  $(s.\chi)(e) = \chi(s^{-1}es)$ .



# Groupoid models for the left reduced $C^*$ -algebras

## The transformation groupoid and its variation

The **transformation groupoid**  $I_l \ltimes \Omega$  and its variation  $I_l \bar{\ltimes} \Omega$  are defined to be the collection of equivalence classes on the set

$$I_l * \Omega := \{(s, \chi) \in I_l \times \Omega : \chi(s^{-1}s) = 1\}.$$

For  $I_l \ltimes \Omega$ , the equivalence relation  $\sim$  is given by

$$(s, \chi) \sim (t, \psi) \iff \chi = \psi \text{ and there exists an } e \in J \text{ with } \chi(e) = 1 \text{ and } se = te.$$

For  $I_l \bar{\ltimes} \Omega$ , the equivalence relation  $\tilde{\sim}$  is given by

$$(s, \chi) \tilde{\sim} (t, \psi) \iff \chi = \psi \text{ and there exists an } \varepsilon \in \bar{J} \text{ with } \chi(\text{Id}_\varepsilon) = 1 \text{ and } s \text{Id}_\varepsilon = t \text{Id}_\varepsilon.$$

Groupoid structure:

- the source map  $s([s, \chi]) = \chi$  and the range map  $r([s, \chi]) = s \cdot \chi$ ;
- multiplication  $[s, t \cdot \chi][t, \chi] = [st, \chi]$ ;
- inversion  $[s, \chi]^{-1} = [s^{-1}, s \cdot \chi]$ .

# Groupoid models for the left reduced $C^*$ -algebras

## Left reduced $C^*$ -algebras for the small category $\mathbf{C}$

Let  $\mathbf{C}$  be a left cancellative small category and  $\mathbb{C}$  denotes the space of complex numbers. Define the  $\ell^2(\mathbf{C})$  space to be  $\ell^2(\mathbf{C}) = \left\{ f : \mathbf{C} \rightarrow \mathbb{C} \mid \sum_{c \in \mathbf{C}} |f(c)|^2 < \infty \right\}$  with the “well-known” inner product. The standard orthonormal basis of  $\ell^2(\mathbf{C})$  is given by  $\{\delta_x\}_{x \in \mathbf{C}}$ , where  $\delta_x : \mathbf{C} \rightarrow \mathbb{C}$ ,  $\delta_x(y) = \begin{cases} 1 & \text{if } y = x, \\ 0 & \text{if } y \neq x. \end{cases}$

For each  $c \in \mathbf{C}$ , we define a partial isometry  $\lambda_c$  by assigning  $\delta_x \mapsto \delta_{cx}$  if  $t(x) = d(c)$  and  $\delta_x \mapsto 0$  if  $t(x) \neq d(c)$  and extending by linearity on  $\ell^2(\mathbf{C})$ .

**Definition (Left reduced  $C^*$ -algebra of a left cancellative small category)**

The left reduced  $C^*$ -algebra of  $\mathbf{C}$ , denoted by  $C^*_\lambda(\mathbf{C})$ , is defined by the  $C^*$ -algebra generated by the partial isometries  $\{\lambda_c\}_{c \in \mathbf{C}}$ .

## Theorem (Spielberg 2020)

Let  $\mathbf{C}$  be a left cancellative small category. The groupoid  $I_l \bar{\times} \Omega$  is a groupoid model for  $C_\lambda^*(\mathbf{C})$ , meaning that  $C_\lambda^*(\mathbf{C}) \cong C_r^*(I_l \bar{\times} \Omega)$ .

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# Garside theory

## Paths

In the following, let  $\mathbf{C}$  be always a left cancellative small category.

A **finite  $\mathbf{C}$ -path** is a finite sequence  $g_1 | \cdots | g_p$  such that  $\mathbf{t}(g_{k+1}) = \mathbf{d}(g_k)$  for all  $k = 1, 2, \dots, p - 1$ .

An **infinite  $\mathbf{C}$ -path** is an infinite sequence  $g_1 | g_2 | \cdots$  such that  $\mathbf{t}(g_{k+1}) = \mathbf{d}(g_k)$  for all  $k \in \mathbb{N}_+$ .

If  $f_1 | \cdots | f_p$  and  $g_1 | \cdots | g_q$  are two  $\mathbf{C}$ -paths with  $\mathbf{t}(f_1) = \mathbf{d}(g_q)$ , the **concatenation** of these is a new path  $g_1 | \cdots | g_q | f_1 | \cdots | f_p$ .



# Greediness

Let  $S$  be a subfamily of  $\mathbf{C}$ .

## Definition ( $S$ -greedy)

A length-two path  $g_1|g_2$  is said to be  $S$ -**greedy** if each relation  $s \leq fg_1g_2$  with  $s \in S$  and  $f \in \mathbf{C}$  implies that  $s \leq fg_1$ .

A path  $g_1|\cdots|g_p$  is said to be  $S$ -greedy if  $g_i|g_{i+1}$  is  $S$ -greedy for each  $i = 1, 2, \dots, p - 1$ .

# Garside Theory

## Closeness under $=^*$ , Closure

Let  $S$  be a subfamily of  $\mathbf{C}$ . We say that  $S$  is **closed under  $=^*$**  or  **$=^*$ -closed** if for every  $g' \in \mathbf{C}^*$ ,  $g' =^* g$  for some  $g \in S$  implies that  $g' \in S$ .

### Definition ( $=^*$ -closure)

Let  $S \subseteq \mathbf{C}$  be a subfamily, we define

$$S^\sharp = SC^* \cup \mathbf{C}^*.$$

$S^\sharp$  is called the  **$=^*$ -closure** of  $S$ .

# Normality

Let  $S$  be a subfamily of  $\mathbf{C}$ . Remember  $S^\# = S\mathbf{C}^* \cup \mathbf{C}^*$ .

## Definition ( $S$ -normal)

A finite or infinite  $\mathbf{C}$ -path is  **$S$ -normal** if it is  $S$ -greedy and every entry lies in  $S^\#$ .

We say that a path  $s_1 | \cdots | s_p$  is an  **$S$ -normal decomposition** for an element  $g$  if  $s_1 | \cdots | s_p$  is an  $S$ -normal path and  $g = s_1 \cdots s_p$ .



# Garside families

## Definition (Garside family)

Let  $\mathbf{C}$  be a left cancellative small category. A subfamily  $\mathbf{G}$  of  $\mathbf{C}$  is called a **Garside family** if every element of  $\mathbf{C}$  admits at least one  $\mathbf{G}$ -normal decomposition.



## A slight generalization to infinite paths

Let  $\mathbf{C}$  be a left cancellative small category. Recall that we defined previously  $\chi_x : J \rightarrow \{0, 1\}$  by

$$\chi_x(e) = \begin{cases} 1, & \text{if } x\mathbf{C} \subseteq e, \\ 0, & \text{otherwise.} \end{cases}$$

We also have that  $\chi_x \in \Omega$  for all  $x \in \mathbf{C}$ .

If  $\mathbf{G}$  is a Garside family of  $\mathbf{C}$ , then in particular,  $\mathbf{G}^\#$  generates  $\mathbf{C}$ , in the sense that every  $x \in \mathbf{C}$  is a product of finite elements in  $\mathbf{G}^\#$ , say,  $x = g_1 \cdots g_n$ . This gives a finite  $\mathbf{C}$ -path  $g_1 | \cdots | g_n$ .

For infinite  $\mathbf{C}$ -paths and characters in  $\Omega$  outside  $\{\chi_x : x \in \mathbf{C}\}$ , do we have a similar conclusion?

Let  $w = s_1|s_2|\cdots$  be an infinite  $\mathbf{C}$ -path with every  $s_i, (i \in \mathbb{N}_+)$  in  $S$ . In the case that every  $s_i$  lies in  $S$ , we also say that  $w$  is an infinite  $S$ -**path**.

Note that an  $S$ -normal path is an  $S^\#$ -path. We write

$w_{\leq n} := s_1|\cdots|s_n$  for the finite path formed by the first  $n$  elements of the infinite path  $w$ ,

$w_n := s_1 \cdots s_n$  for the product of elements of  $w_{\leq n}$ ,

$w_{=n} := s_n$  for the  $n$ -th element of  $w$ , and

$w_{>n} := s_{n+1}|s_{n+2}|\cdots$  for the path obtained by deleting first  $n$ -elements from  $w$ .

Let  $\Omega_\infty = \Omega \setminus \{\chi_x : x \in \mathbf{C}\}$ .

# The character from an infinite path

## Definition (Character from an infinite path)

Let  $S$  be a subfamily of  $\mathbf{C}$  which generates  $\mathbf{C}$ . For an (infinite)  $S$ -path  $w$ , we define a map  $\chi_w : J \rightarrow \{0, 1\}$  by

$$\chi_w(e) = \begin{cases} 1, & \text{if } w_n \in e \text{ for some } n \in \mathbb{N}_+, \\ 0, & \text{otherwise.} \end{cases}$$

We see that for every  $x \in \mathbf{C}$ ,  $\chi_x$  is actually the character from a finite path.

# Interlude: Finite alignment

A small category  $\mathbf{C}$  is said to be **finitely aligned** if for all  $a, b \in \mathbf{C}$  there exists a finite subset  $F \subseteq \mathbf{C}$  such that  $a\mathbf{C} \cap b\mathbf{C} = \bigcup_{c \in F} c\mathbf{C}$ .

## Lemma

Let  $\mathbf{C}$  be a finitely aligned left cancellative small category. Then the following statements hold.

- (i) Every  $e \in J$  is a union of finitely many principal ideals. That is,  $e = \bigcup_{x \in F} x\mathbf{C}$  for some finite subset  $F \subseteq \mathbf{C}$ .
- (ii) Every  $\chi \in \Omega$  is determined by the family of principal ideals where the value of  $\chi$  is 1, that is,  $\mathcal{F}_p^\chi := \{x\mathbf{C} : x \in \mathbf{C} \text{ with } \chi(x\mathbf{C}) = 1\}$ , in the sense that for every  $e \in J$ ,  $\chi(e) = 1$  if and only if there is an  $x\mathbf{C} \in \mathcal{F}_p^\chi$  such that  $x\mathbf{C} \subseteq e$ .

## Theorem (Li 2022)

The transformation groupoid  $I_l \ltimes \Omega$  is isomorphic to its variation  $I_l \bar{\ltimes} \Omega$  if either of the following conditions holds:

- (1)  $\mathbf{C}$  is finitely aligned.
- (2)  $I_l \ltimes \Omega$  is Hausdorff.

Recall that the groupoid  $I_l \bar{\ltimes} \Omega$  is a groupoid model for  $C_\lambda^*(\mathbf{C})$ . Then we have the following corollary.

## Corollary

The transformation groupoid  $I_l \ltimes \Omega$  is a groupoid model for  $C_\lambda^*(\mathbf{C})$  if either  $\mathbf{C}$  is finitely aligned or  $I_l \ltimes \Omega$  is a Hausdorff space.

## Lemma ♣

Let  $\mathbf{C}$  be a finitely aligned left cancellative small category which is also countable. Let  $S$  be a subfamily of  $\mathbf{C}$  generating  $\mathbf{C}$ . Then every  $\chi \in \Omega_\infty$  is of the form  $\chi_w$  for some **infinite**  $S$ -path.



# Standard assumption

$\mathbf{C}$  is a finitely aligned, countable, left cancellative small category;

$\mathbf{G}$  is a Garside family of  $\mathbf{C}$  which is  $=^*$ -transverse, locally bounded, and  $\mathbf{G} \cap \mathbf{C}^* = \emptyset$ .

Definitions:

Let  $\mathbf{C}$  be a small category.

A subfamily  $S$  of  $\mathbf{C}$  is said to be  $=^*$ -**transverse** if  $a =^* b$  implies that  $a = b$  for all  $a, b \in S$ .

A subfamily  $S$  of  $\mathbf{C}$  is said to be

- **locally finite** if  $\mathbf{v}S$  is finite for all  $\mathbf{v} \in \mathbf{C}^0$ ;
- **locally bounded** if for every  $\mathbf{v} \in \mathbf{C}^0$  there is no infinite sequence  $s_1, s_2, \dots$  in  $\mathbf{v}S$  with  $s_1 < s_2 < \dots$ .

Let  $S$  be a subfamily of  $\mathbf{C}$ . Given  $a \in \mathbf{C}$ , an element  $s \in S$  is known as an  $S$ -**head** of  $a$  if  $s$  is a greatest left divisor of  $a$  in  $S$ .

Lemma (Dehornoy et al. 2015)

If  $\mathbf{G}$  is a Garside family of  $\mathbf{C}$ , then every non-invertible element  $a$  admits a  $\mathbf{G}$ -head.

In the case that  $S$  is  $=^*$ -transverse, the  $S$ -head is unique if it exists. In this case, the  $S$ -head of an element  $a \in \mathbf{C}$  is denoted as  $H_S(a)$ . We may also omit  $S$  and write  $H(a)$  instead when it is clear in the context.

Given two  $S$ -paths  $x = s_1|s_2|\cdots$  and  $y = t_1|t_2|\cdots$  we mean  $x = y$  by requiring  $s_i = t_i$  for all indices  $i$ . In the case of finite paths, we also require that their lengths are the same.

### Lemma ♠

Let  $\mathbf{C}$  be a finitely aligned countable left cancellative small category. Let  $\mathbf{G}$  be a Garside family of  $\mathbf{C}$  which is  $=^*$ -transverse, locally bounded, and  $\mathbf{G} \cap \mathbf{C}^* = \emptyset$ . Then every  $\chi \in \Omega \setminus \{\chi_{\mathbf{v}} : \mathbf{v} \in \mathbf{C}^0\}$  is of the form  $\chi_p$  for some **G-normal** path  $p$ . Moreover, for two normal paths  $p$  and  $q$ ,  $\chi_p = \chi_q$  if and only if  $p = q$ .

Let  $\mathcal{W}$  be the collection of all **G-normal** paths, then the above lemma gives a one-to-one correspondence between paths in  $\mathcal{W} \sqcup \mathbf{C}^0$  and characters in  $\Omega$  given by  $w \mapsto \chi_w, \mathbf{v} \mapsto \chi_{\mathbf{v}}$ .

# Admissible pairs, $H$ -invariance, $\max_{\leq}^{\infty}$ -closeness

Let  $\mathbf{C}$  be a left cancellative small category and  $\mathbf{G}$  be a (nontrivial) Garside family.

For a sequence  $\{s^{(i)}\}$  in  $\mathbf{G}$  and an element  $s \in \mathbf{G} \cup \mathbf{C}^0$ , we write  $\lim_i s^{(i)} = s$  if  $s$  is the greatest element with respect to  $\leq$  among the set  $\{r \in \mathbf{G} \cup \mathbf{C}^0 : r \leq s^{(i)} \text{ for all but finitely many } i\}$  in the sense that  $s \leq s^{(i)}$  for all but finitely many  $i$ , and every element  $r$  left dividing  $s^{(i)}$  is also a left divisor of  $s$ .

Also let  $\mathbf{I}$  be a subfamily of  $\mathbf{G}$  and  $\mathbf{D}$  be a subfamily of  $\mathbf{C}^0$ .

- (i) The pair  $(\mathbf{I}, \mathbf{D})$  is called **admissible** if for all  $t \in \mathbf{I}$ , either there is a  $t' \in \mathbf{I}$  such that the path  $t|t'$  is  $\mathbf{G}$ -normal or  $\mathbf{d}(t) \in \mathbf{D}$ .
- (ii)  $(\mathbf{I}, \mathbf{D})$  is called  **$H$ -invariant** if for all  $a \in \mathbf{C} \setminus \mathbf{C}^*$  and  $x \in \mathbf{I} \cup \mathbf{D}$  with  $\mathbf{d}(a) = \mathbf{t}(x)$ ,  $H(ax)$  lies in  $\mathbf{I}$ .
- (iii)  $(\mathbf{I}, \mathbf{D})$  is called  **$\max_{\leq}^{\infty}$ -closed** if for every sequence  $\{t_i\}_i$  in  $\mathbf{I}$ , if  $\lim_i t_i$  exists in  $\mathbf{G}$ , then  $\lim_i t_i \in \mathbf{I} \cup \mathbf{D}$ .

Lemma ♠ implies that there is a bijective correspondence between subsets of  $\Omega$  and subsets of  $\mathcal{W} \sqcup \mathcal{C}^0$ :

Given  $X \subseteq \Omega$ , the corresponding subset of  $\mathcal{W} \sqcup \mathcal{C}^0$  is  $\mathcal{V}(X) := \{w \in \mathcal{W}, v \in \mathcal{C}^0 : \chi_w, \chi_v \in X\}$ .

Given  $\mathcal{V} \subseteq \mathcal{W} \sqcup \mathcal{C}^0$ , the corresponding subset of  $\Omega$  is  $X(\mathcal{V}) := \{\chi_w, \chi_v \in \Omega : w \in \mathcal{V} \cap \mathcal{W}, v \in \mathcal{V} \cap \mathcal{C}^0\}$ .

### Definitions

- Given  $X \subseteq \Omega$ , let  $\mathcal{V}(X) = \{w \in \mathcal{W}, v \in \mathcal{C}^0 : \chi_w, \chi_v \in X\}$ . We define

$$\mathbf{I}(X) = \{t \in \mathbf{G} : t = v_{=i} \text{ for some } v \in \mathcal{V}(X) \cap \mathcal{W} \text{ and } i \in \mathbb{N}_+\}$$

and

$$\mathbf{D}(X) = \mathcal{V}(X) \cap \mathcal{C}^0 = \{v \in \mathcal{C}^0 : \chi_v \in X\}.$$

- Let  $\mathbf{I}$  be a subfamily of  $\mathbf{G}$  and  $\mathbf{D}$  be a subfamily of  $\mathcal{C}^0$ . Define

$$X(\mathbf{I}, \mathbf{D}) = \{\chi_v : v_{=i} \in \mathbf{I}, \forall i \in \mathbb{N}_+\} \cup \{\chi_v : v \in \mathbf{D}\}.$$

# Main theorem

## Lemma

We have the following two necessary and sufficient statements:

- (1) The pair  $(\mathbf{I}, \mathbf{D})$  is admissible if and only if there is a subset  $X \subseteq \Omega$  such that  $\mathbf{I} = \mathbf{I}(X)$  and  $\mathbf{D} = \mathbf{D}(X)$ .
- (2)  $(\mathbf{I}(X), \mathbf{D}(X))$  is  $H$ -invariant and  $\max_{\leq}^{\infty}$ -closed if and only if  $X$  is  $(I_l \times \Omega)$ -invariant and closed.

## THEOREM

There is an inclusion preserving one-to-one correspondence:

$$\{(I_l \times \Omega)\text{-invariant closed subspaces of } \Omega\} \longrightarrow \{\text{admissible, } H\text{-invariant } \max_{\leq}^{\infty}\text{-closed pairs}\}$$

$$X \longmapsto (\mathbf{I}(X), \mathbf{D}(X))$$

$$X(\mathbf{I}, \mathbf{D}) \longleftarrow (\mathbf{I}, \mathbf{D})$$

with  $\mathbf{I} \subseteq \mathbf{G}$  and  $\mathbf{D} \subseteq \mathbf{C}^0$ .

If further  $\mathbf{G}$  is locally finite, then every pair  $(\mathbf{I}, \mathbf{D})$  is automatically  $\max_{\leq}^{\infty}$ -closed.

### Theorem

Let  $\mathbf{C}$  be a finitely aligned countable left cancellative small category and  $\mathbf{G}$  is a Garside family of  $\mathbf{C}$  which is  $=^*$ -transverse, locally bounded and  $\mathbf{G} \cap \mathbf{C}^* = \emptyset$ . Then we have the following:

- The transformation groupoid  $I_l \rtimes \Omega$  is a groupoid model for the left reduced  $C^*$ -algebra  $C_{\lambda}^*(\mathbf{C})$ .
- There is an inclusion preserving one-to-one correspondence between  $I_l \rtimes \Omega$ -invariant closed subspaces of  $\Omega$  and admissible,  $H$ -invariant  $\max_{\leq}^{\infty}$ -closed pairs  $(\mathbf{I}, \mathbf{D})$  with  $\mathbf{I} \subseteq \mathbf{G}$  and  $\mathbf{D} \subseteq \mathbf{C}^0$ .
- If further  $\mathbf{G}$  is locally finite, then the  $\max_{\leq}^{\infty}$ -closeness condition can be removed.

# Outline

- 1 Left Cancellative Small Categories, Inverse semigroups and Characters
- 2 Garside Theory
- 3 Main Results
- 4 Application: Higher-rank graphs**



# Higher-rank graphs

## Definition (Graph of rank $k$ )

Let  $k$  be a nonnegative integer. A **graph of rank  $k$**  (also called a  $k$ -graph) is a countable small category  $\mathbf{E}$  equipped with a functor  $d : \mathbf{E} \rightarrow \mathbb{N}^k$  satisfying the following **unique factorization property**: For all  $e \in \mathbf{E}$  and  $m, n \in \mathbb{N}^k$  with  $d(e) = m + n$ , there are unique elements  $u \in d^{-1}(m)$  and  $v \in d^{-1}(n)$  such that  $e = vu$ .

We often call a graph of rank  $k$  a higher-rank graph when  $k \geq 2$ .

Basic properties of higher-rank graphs:

- (i)  $d^{-1}(0) = \{\text{id}_v : v \in \mathbf{E}^0\} = \mathbf{E}^0$ .
- (ii)  $\mathbf{E}^* = \mathbf{E}^0$ .
- (iii) Let  $a, b \in \mathbf{E}$ . Then  $a =^* b$  if and only if  $a = b$ .

Now we need to answer the following questions:

- (Q1) Does a higher-rank graph possess left cancellative property?
- (Q2) If so, what can be its Garside family?
- (Q3) What do the results obtained previously mean for higher-rank graphs?

# Answer to (Q1)

A higher-rank graph indeed possesses left cancellative property.

## Proposition

Let  $\mathbf{E}$  be a graph of rank  $k$ . Then  $\mathbf{E}$  is both left and right cancellative.

*Proof.* Let  $v, u, w \in \mathbf{E}$  such that  $vu = vw$ , we set out to verify that  $u = w$ . Actually we have  $d(vu) = d(v) + d(u)$  and  $d(vw) = d(v) + d(w)$ . Then the identity  $d(v) + d(u) = d(v) + d(w)$  with unique factorization property implies that  $u = w$ . This means  $\mathbf{E}$  is indeed left cancellative. The same argument shows that  $\mathbf{E}$  is indeed right cancellative.

## Answer to (Q2)

Let  $\mathbf{E}$  be a graph of rank  $k$ . Let  $S_p = \{0, 1\}^k \setminus \{(0, \dots, 0)\}$  be the set  $k$ -tuples whose components are only 0 or 1, without the zero tuple. Then

$$\mathbf{G} := d^{-1}(S_p)$$

is a Garside family of  $\mathbf{E}$ .

Moreover,  $\mathbf{G}$  has the following properties:

- $\mathbf{G}$  is  $=^*$ -transverse;

By basic property (iii),  $\mathbf{E}$  itself is already  $=^*$ -transverse.

- $\mathbf{G}$  is locally bounded;

For any  $v \in \mathbf{E}^0$ , if there were an infinite strictly increasing sequence  $\text{id}_v s_1 < \text{id}_v s_2 < \dots$  in  $\text{id}_v \mathbf{G}$  then  $d(\text{id}_v s_1) < d(\text{id}_v s_2) \leq \dots$  is an infinite strictly increasing sequence in  $S_p$  since  $d(\text{id}_v s_i) = d(\text{id}_v) + d(s_i) = d(s_i)$  and  $d(\text{id}_v) = 0$ . However, there cannot exist any infinitely increasing sequence in  $S_p$  because the greatest element in it is  $(1, 1, \dots, 1)$ .

- $\mathbf{G} \cap \mathbf{E}^* = \emptyset$ .

By basic properties (i) and (ii),  $d(\mathbf{E}^*) = \{0\}$ .

# Characterization of admissible pairs, $H$ -invariance and $\max_{\leq}^{\infty}$ -closeness in a higher-rank graph

## Lemma

Let  $\mathbf{E}$  be a graph or a higher-rank graph with the Garside family  $\mathbf{G}$  defined above. Let  $\mathbf{I}$  be a subfamily of  $\mathbf{G}$  and  $\mathbf{D}$  be a subfamily of  $\mathbf{E}^0$ .

- The pair  $(\mathbf{I}, \mathbf{D})$  is admissible if and only if
  - (A)** for every  $t \in \mathbf{I}$  there exists a  $t' \in \mathbf{I}$  with  $d(t') \leq d(t)$  or  $d(t) \in \mathbf{D}$ .
- $(\mathbf{I}, \mathbf{D})$  is  $H$ -invariant if and only if
  - (I)** for every  $t \in \mathbf{I} \cup \mathbf{D}$  and every atom  $a$  with  $d(a) = t(t)$  if  $d(a) \not\leq d(t)$  then  $at \in \mathbf{I}$ , and if  $d(a) \leq d(t)$  and  $t = rs$  with  $d(s) = d(a)$  then  $ar \in \mathbf{I}$ .
- $(\mathbf{I}, \mathbf{D})$  is  $\max_{\leq}^{\infty}$ -closed if and only if
  - (C)** for every sequence  $\{az_i\}_i$  with a fixed  $a \in \mathbf{G} \cup \mathbf{E}^0$  and  $d(z_i) = d \in \mathbb{N}^k$  a constant tuple, if whenever  $e \leq d$  is a standard basis element of  $\mathbb{N}^k$  and  $s_i \leq z_i$  satisfies  $d(s_i) = e$  we must have  $s_i \neq s_j$  for all  $i \neq j$ , then  $a \in \mathbf{I} \cup \mathbf{D}$ .

# Answer to (Q3)

## Theorem (Farthing et al. 2005)

The transformation groupoid of a higher-rank graph  $\mathbf{E}$  is a groupoid model for the Toeplitz-Cuntz-Krieger algebra  $\mathcal{T}C^*(\mathbf{E})$  of  $\mathbf{E}$ .

## Theorem

Let  $\mathbf{E}$  be a countable finitely aligned higher-rank graph, with the Garside family  $\mathbf{G} = d^{-1}(S_p)$  and let  $I_l \ltimes \Omega$  be the corresponding transformation groupoid. Then  $I_l \ltimes \Omega$  is the groupoid model for the Toeplitz-Cuntz-Krieger algebra of  $\mathbf{E}$ , and there is an inclusion preserving one-to-one correspondence:

$$\{(I_l \ltimes \Omega)\text{-invariant closed subspaces of } \Omega\} \longrightarrow \{\text{pairs satisfying conditions (A), (I) and (C)}\}$$

$$X \longmapsto (\mathbf{I}(X), \mathbf{D}(X))$$

$$X(\mathbf{I}, \mathbf{D}) \longleftarrow (\mathbf{I}, \mathbf{D})$$

with  $\mathbf{I} \subseteq \mathbf{G}$  and  $\mathbf{D} \subseteq \mathbf{C}^0$ .

If further  $\mathbf{G}$  is locally finite, then the condition **(C)** can be removed.

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